# FAX COVER SHEET MAUREEN STRETCH Attorney at Law

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Phone: Fax: 508-651-9922 Copy of IDS filed

	14x, 500-031-7722
Send to:	Commissioner for Patents
Attention:	Examiner Diaz, Group Art Unit 3623
Office location:	P.O.Box 150, Alexandria VA
Fax number:	571-273-6733
From:	Maureen Stretch
Client/Matter	Application Serial No. 09/702,128
Date:	6/13/06
TOTAL PAGES, IN	CLUDING COVER: 15

#### Contents

Copy of previously filed IDS submission and form 1449 for above application serial number.

#### **COMMENTS:**

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Examiner: Susanna MeineckeDiaz

Art Unit: 3623

## Attorney's Docket Number ET00-008CIP

#### **PATENTS**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: the Application of: Conklin et al

Fled: 10/30/00

Serial No.: 09/702,128

For: System and Method for Process Mining

Docket No: ET00-008CTP

Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450 Attn: Office Of Petitions

URGENT

# SUBMISSION OF INFORMATION

Sir:

Pursuant to 37 C.F.R. §1.56 and MPEP § 2001, the attention of the Patent & Trademark Office is hereby directed to the references and information listed on the attached 13 sheets of the Form PTO-1449. A copy of each listed non-patent, non-duplicate reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of the Request for Continued Examination for the above application enclosed herewith and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Applicants have listed publication dates based on the information provided to them. However, the listed publication dates should not be construed as an admission that the information was actually published on the indicated date. Certain references also have publication dates after the filing date of the parent applications for this application. Applicants reserve the right to confirm the patentability of any of the claims of the above identified application over any of the information provided herewith and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered. This "Submission of Information" should not be construed as a representation that a search has been made, that information cited in the submission is considered to be and/or is material to patentability, or that information more material to the examination of the above application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Pursuant to 37 C.F.R §1.56 and MPEP § 2001, applicants hereby expressly inform the Patent Office of a concurrent proceeding in the United States District Court for the Eastern District of Texas, Marshall Division, Sky Technologies LLC v. IBM Corporation and I2, Incorporated., Eastern District of Texas, Marshall Division, Civil Action No. 2:03CV454-DF.

A petition to withdraw the above application from issue, an Amendment, and a Request for Continued Examination have been filed herewith. Authorization to charge the fees for a large entity for this submission and for the RCE to applicants' attorney's deposit account is included herewith.

Respectfully submitted,

Cust. No. 27,443

Date: February 17, 2005

Maureen Stretch 26 Charles Street Natick, MA 01760

Reg. No.: 29,447

Form 1449 Section 2. Form PTO-1449 (Modified)	E700-098C6
FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE	Attorney Docket No. ET00-008CIP Serial No. 09/702,128
(Modified) PATENT AND TRADEMARK OFFICE	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Applicant Conklin et al
(Use several sheets if necessary) (S7 CFR 1.98(b))	Filing Date 10/30/00 Group 3623

See 12 pages of listed references. Where references are duplicates, a line has been drawn through the duplicate. Where a reference has been previously considered by the Patent Office in connection with the above identified application, a notation of "AC" for "already considered" has been made. Copies of US Patents are not included. Copies of all other references are included herewith, with the exception that applicants have attempted to eliminate duplicates.

(Information Disclosure Statement — Section 2. FORM PTO-1449 (Modified) [6-1] — page \_\_\_\_ of \_\_\_\_)

· Copy of IDS Filed 21/10S

Form 1449

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DATE	DESCRIPTION
00/00/1997	ARTICLE ENTITLED "AUCTIONS AND BIDDING ON THE INTERNET: AN ASSESSMENT" BY E. TURBAN
05/00/1998	ARTICLE ENTITLED "THE MICHIGAN INTERNET AUCTIONBOT: A CONFIGURABLE AUCTION SERVER FOR HUMAN AND SOFTWARE AGENTS" BY P. WURMAN ET AL.
00/00/1995	ARTICLE ENTITLED "THE DESIGN AND IMPLEMENTATION OF A SECURE AUCTION SERVICE" BY M. FRANKLIN AND M. REITER
00/00/0DD0	PRESENTATION RE PRICE DYNAMICS OF VERTICALLY-DIFFERENTIATED INFORMATION AND COMPUTATION MARKETS BY J. SAIRAMESH AND J. KEPHART
00/00/0000	ARTICLE ENTITLED "AN EFFICIENT ANYTIME ALGORITHM FOR MULTIPLE-COMPONENT BID SELECTION IN AUTOMATED CONTRACTING" BY E STEINMETZ ET AL.
00/00/0000	ARTICLE ENTITLED 'NEGOTIATION AND THE INTERNET: USERS' EXPECTATIONS AND ACCEPTANCE' BY G. KERSTEN AND S. NORONHA
10/00/1995	ISBM REPORT ENTITLED "USING COMPUTERS TO REALIZE JOINT GAINS IN NEGOTIATIONS: TOWARD AN ELECTRONIC BARGAINING TABLE" BY A. RANGSWAMY AND G. SHELL
08/00/1997	ARTICLE ENTITLED "USING COMPUTERS TO REALIZE JOINT GAINS IN NEGOTIATIONS: TOWARD AN ELECTRONIC BARGAINING TABLE" BY A. RANGSWAMY AND G. SHELL
09/03/1998	ARTICLE ENTITLED "INTERNET AUCTIONS" BY M. KUMAR AND S. FELDMAN
00/00/8000	ARTICLE ENTITLED 'AGENT-MEDIATED ELECTRONIC COMMERCE: A SURVEY BY R. GUTTMAN RT AL.
03/00/1995	ARTICLE ENTITLED "A SURVEY OF THE USE OF COMPUTER SUPPORT FOR NEGOTIATION" BY OR. ABBAS FOROUGHI
00/00/0000	ARTICLE ENTITLED ISSUES IN AUTOMATED NEGOTIATION AND ELECTRONIC COMMERCE: EXTENDING THE CONTRACT NET FRAMEWORK" BY T. SANDHOLM AND V. LESSER
04/18/1998	IIASA INTERIM REPORT ENTITLED "WORLD WIDE WEB TECHNOLOGY IN SUPPORT OF NEGOTIATION AND COMMUNICATION" BY J. TEICH ET AL.
03/15/1998	IIASA INTERIM REPORT ENTITLED 'MODELLING BUSINESS NEGOTIATIONS FOR ELECTRONIC COMMERCE' BY G. KERSTEN AND S. SZPAKOVICZ
08/00/1997	IIASA INTERIM REPORT ENTITUED 'NEGOTIATION VIA THE WORLD WIDE WEB: A CROSS- CULTURAL STUDY OF DECISION MAKING" BY G. KERSTEN AND B. NORONHA
08/00/1997	IIASA INTERIM REPORT ENTITLED "BUPPORTING INTERNATIONAL NEGOTIATION WITH A WWW-BASED SYSTEM" BY G. KERSTEN AND S. NORDNHA
05/00/1997	INTERNEG RESEARCH PAPER ENTITLED "SUPPORTING INTERNATIONAL NEGOTIATION WITH A WWW-BASED SYSTEM" BY G. KERSTEN AND S. NORONHA
00/00/1998	ARTICLE ENTITLED "A WEB-BASED NEGOTIATION SUPPORT SYSTEM" BY Y. YUAN ET AL.
00/00/00/00	IBM PAPER ENTITLED BUSINESS NEGOTIATIONS ON THE INTERNET BY M. KUMAR AND S. FELDMAN
10/00/1989	IGGE PAPER ENTITLED "NEGOPLAN: AN EXPERT SYSTEM BHELL FOR NEGOTIATION SUPPORT" BY S. MATWIN ET AL.
00/00/0000	PAPER ENTITLED "AGENT-MEDIATED INTEGRATIVE NEGOTIATION FOR RETAIL ELECTRONIC COMMERCE" BY R. GUITMAN AND P. MAES
00/00/0000	PAPER ENTITLED SICS MARKETSPACE AN AGENT BASED MARKET INFRASTRUCTURE SY J. ERIKSSON ET AL.
07/18/1996	POSITION PAPER ENTITLED "INFORMATION AND INTERACTION IN MARKETSPACE AND THEIR IMPLEMENTATION IN PROLOG" BY J. ERIKSSON ET AL.
06/17/2001	DRAFT PAPER ENTITLED "ELECTRONIC NEGOTIATIONS" BY S. STRECKER
01/19/1999	PAPER ENTITLEO "WWW-BASED NEGOTIATION SUPPORT: DESIGN, IMPLEMENTATION, AND USE" BY G. KERSTEN AND S. NORONHA
00/00/0000	PAPER ENTITLED 'AN ENGLISH AUCTION PROTOCOL FOR MULTI-ATTRIBUTE ITEMS' BY E. DAVID ET AL.

Page 2 73

ET00-00801P

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d7/00/1999	
	PAPER ENTITLED "CONDUCTING DYADIC MULTIPLE ISSUE REGOTIATION EXPERIMENTS:  METHODOLOGICAL RECOMMENDATIONS" BY J. TEICH ET AL.
00/00/0000	PAPER ENTITLED BUILDING ELECTRONIC MARKETPLACES WITH THE ZEUS AGENT TOOLKIT' BY J. COLLIS AND L. LEE
03/11/1997	Paper entitled temporal strategies in a multi-agent contracting protocol by J. Colling et al.
00/00/2000	PAPER ENTITLED "AUTOMATED NEGOTIATION FROM DECLARATIVE CONTRACT DESCRIPTIONS" BY D. REEVES ET AL.
05/28/2000	PAPER ENTITLED "ARE ALL E-COMMERCE NEGOTIATIONS AUCTIONS?" BY G. KERSTEN ET AL.
04/18/1987	PAPER ENTITLED 'A MARKET ARCHITECTURE FOR MULTI-AGENT CONTRACTING' BY J. COLLINS ST AL.
03/07/2000	US PATENT NO. 8,036,288 ENTITLED 'INTERACTIVE COMPUTER-IMPLEMENTED SYSTEM AND METHOD FOR NEGOTIATED SALE OF GOODS AND/OR SERVICES' BY R. SOLOMON
09/02/1997	US PATENT NO. 5.664, 115 ENVILED INTERACTIVE COMPUTER SYSTEM TO MATCH BUYERS AND SELLERS OF REAL ESTATE, BUSINESSES AND OTHER PROPERTY USING THE INTERNET BY R. PRASER
09/01/1998	inss negotiation protocols
12/21/2001	PAPER ENTITLED "NEGOTIATION SUPPORT BYSTEMS AND SOFTWARE AGENTS IN E- BUSINESS NEGOTIATIONS" BY G. KERSTEN ET AL.
00/00/1998	PAPER ENTITLED NETBAZAAR: NETWORKED ELECTRONIC MARKETS FOR TRADING COMPUTATION AND INFORMATION SERVICES BY J. SAIRAMESH AND C. CODELLA
02/06/1997	INTERNATIONAL PUBLICATION NO. WO.57/04416 ENTITLED "ON-LINE CONTRACT" NEGOTIATING APPARATUS AND METHOD" BY M. SLOO
10/08/1998	PAPER ENTITLED 'NEGOTIATION SUPPORT SYSTEMS AND NEGOTIATING AGENTS" BY G. KERSTEN
12/00/1998	TIASA INTERIM REPORT ENTITIED MULTIPLE ISBUE AUCTION AND MARKET ALGORITHMS FOR THE WORLD WIDE WEB! BY J. TEICH ET AL.
00/00/0000	Paper entitled "Negotiation processes, evolutionary systems design and Negotiator by t. Bui and M. Shakun
00/00/2000	DISSERTATION ENTITLES MARKET STRUCTURE AND MULTIDIMENSIONAL AUCTION DESIGN FOR COMPUTATIONAL ECONOMIES BY P. WILRMAN (NO DOCUMENT ATTACHED)
01/30/2001	STEPHENS INC. REPORT ENTITLED "STRATEGIC SOURCING: APPLICATIONS TO TURN DIRECT MATERIALS PROCUREMENT INTO A COMPETITIVE ADVANTAGE"
04/00/2000	MORGAN STANLEY DEAN WITTER REPORT ENTITLED COLLABORATIVE COMMERCE
04/13/1998	ARTICLE ENTITLED "HTML TOOLS: VISUAL WEB AUTHORING"
00/00/1990	PAPER ENTITIED "NULE BASED FORMALISM AND PREPERENCE REPRESENTATION: AN EXTENSION OF REGOPLAN' BY G. KERSTEN AND 8. SZPAKOWICZ
00/00/1990	Paper entitled "Modelling of Beoision Making for Discrete Processes in Dynamic Environments" by G. Kersten et al.
00/00/1998	PAPER ENTITUED 'A PROCEDURE FOR NEGOTIATING BEFICIENT AND NON-EFFICIENT COMPROMISES' BY G. KERSTEN
00/00/1999	PAPER ENTITLED 'NEGOTIATION IN ELECTRONIC COMMERCE: INTEGRATING NEGOTIATION SUPPORT AND SOFTWARE AGENT TECHNOLOGIES' BY G. LO AND G. KERSTEN
00/00/0000	PAPER ENTITLED "PERSPECTIVES ON REPRESENTATION AND ANALYSIS OF NEGOTIATION: TOWARDS COGNITIVE SUPPORT SYSTEMS" BY G. KERSTEN AND D. CRAY
00/00/0000	PAPER ENTITLED 1928 ELECTRONIC COMMERCE: WHERE DO AGENTS FIT IN? BY M. BLAKE
01708/1999	LICENTIATE'S THESIS ENTITLED "INTERACTIVE METHODS FOR GROUP DECISION AND NEGOTIATION SUPPORT" BY EERO KETTUNEN
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Page 3 of 13

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09/00/1997	OVE WORKING PAPER ENTITLED "IMPACT OF THE INTERNET ON PURCHABING PRACTICES PRELIMINARY RESULTS FROM A FIELD STUDY" BY A. SEGEV ET AL.
08/00/1898	CITM WORKING PAPER ENTITLED ELECTRONIC CATALOGS AND NEGOTIATIONS BY C. BEAM AND A. SEGEV
12/00/1996	CITM WORKING PAPER ENTITLED ELECTRONIC NEGOTIATION THROUGH INTERNET-BASED AUGTIORS' BY C. BEAM ET AL.
00/00/1997	PAPER ENTITLED 'AN EMPIRICAL STUDY OF THE EFFICACY OF A COMPUTERIZED NEGOTIATION SUPPORT SYSTEM" BY M. DELANEY ET AL.
08/24/1994	PAPER ENTITLED 'INTERACTIVE DECISION SUPPORT FOR REQUIREMENTS NEGOTIATION' BY W. ROBINSON
00/00/1999	PAPER ENTITLED 'AGENT-MEDIATED OFF-EXCHANGE TRADING BY C. WEINHARDT AND P.
06/26/2001	Paper entitled automated negotiation on agent based e-marketplaces: an overview by s. Klaue et al.
00/00/1994	PAPER ENTITLED "DECISION MAKING AND DECISION AIDING; DEFINING THE PROCESS, ITS REPRESENTATIONS, AND SUPPORT BY G. KERSTEN AND S. SZPAKOWICZ
09/28/2001	WHITE PAPER ENTITLED "OPTIMIZING THE COLLABORATIVE NEGOTIATION EXPERIENCE"
01/26/1999	PAPER ENTITLED "PROTOCOLS FOR AUTOMATED NEGOTIATIONS WITH BUYER ANONYMITY AMD SELLER REPUTATIONS" BY L. CRANOR AND P. RESNICK
11/08/1995	PAPER ENTITLED "AN EMPIRICAL STUDY OF AN INTERACTIVE, SESSION ORIENTED COMPUTERIZED NEGOTIATION SUPPORT SYSTEM" BY A. FOROUGHI ET AL.
08/00/1992	PAPER ENTITLEO THE PROCESS OF ASSISTED NEGOTIATIONS: A NETWORK ANALYSIST BY K.  VALLEY ET AL.
00/00/1994	PAPER ENTITLED "EVALUATING NEGOTIATION SUPPORT SYSTEMS: A CONCEPTUALIZATION" BY T. BUI
00/00/1995	PAPER ENTITLED INTERACTIVE DECISION AIDING FOR GROUP DECISION SUPPORT BY A. SALO
00/00/00/00	PAPER ENTITLED "NEGOTIATION ON THE WEST THE NEXT STEP IN E-COMMERCE" BY Y. YUAN ET AL.
04/11/2002	ISM PROGRAM HANGEOOK ENTITLED DOING BUSINESS ELECTRONICALLY: SELECTING THE BEST OPTIONS'
00/00/1988	THESIS ENTITLED 'A MULTI-AGENT EXTENSION OF NEGOPLAN AND ITS APPLICATION TO A BUSINESS STRATEGY GAME, BY E. ERKOL
12/20/1997	PAPER ENTITLED OFFER: AN OBJECT FRAMEWORK FOR ELECTRONIC REQUISITIONING BY M. BICHLER ET AL.
00/00/0000	PAPER ENTITLED 'AUTOMATED NEGOTIATIONS' A SURVEY OF THE STATE OF THE ART BY C. BEAM AND A. SEGEV
08/00/1989	PAPER ENTITLED "NEGOTIATION SUPPORT SYSTEMS: AN OVERVIEW OF DESIGN ISSUES AND EXISTING SOFTWARE" BY M. JELASSI AND A. FOROUGHI
02/00/1992	PAPER ENTITLED "COMPUTER ASSISTED NEGOTIATION OF MULTIDEJECTIVE WATER RESOURCES CONFLICTS" BY E. THIESSEN AND D. LOUCKS
04/26/1995	PAPER ENTITLED "ANALYSIS" OF NEGOTIATION SUPPORT SYSTEM RESEARCH" BY C. HOLSAPPLE ET AL.
00/00/1993	PAPER ENTITLED 'DESIGNING MULTIPLE CRITERIA NEGOTIATION SUPPORT SYSTEMS: PRAMEWORKS, ISSUES AND IMPLEMENTATION BY T. BUI
08/11/1998	US PATENT NO. 5.794,006 ENTITLED SYSTEM AND METHOD FOR EDITING CONTENT IN AN ON- LINE WETWORK BY D. SANDERMAN
06/04/2002	US PATENT NO. 6,401,000 BY ENTITLED "INTELLIGENT AGENT WITH NEGOTIATION CAPABILITY AND METHOD OF REGOTIATION THEREWITH" BY J. 8,401 SET AL.



Page 40/13

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N	01/30/2001	A PLURALITY OF INFERRING UNITS OTHER CORRESPONDING TO NEGO CHANGED CORRESPONDING TO SU	COOPERATIVE INFERRING APPARATUS FOR CAUS IHAT INFER A PROBLEM TO NEGOTIATE WITH EACH TATION STRATEGIES THAT CAN BE AUTONOMOUSL CHAS A NEGOTIATION HISTORY" BY N. KASE AND T KAWAMURA	Y
MO	07/04/2000	BETWEEN AN INTELLIGENT AGENT A MESSA	PAPARATUS AND METHOD FOR COMMUNICATING NO CLIENT COMPUTER PROCESS USING DISGUISE BES' BY J. BIGUS ET AL.	D
<del></del>	05/22/2000	US PATENT NO. 5.067 531 ENTITLES SYSTEM AND	ALTOMATED GENTRACT NEGOTIATORIGENERATIO METHOD' BY D. HOYT BY AL.	H-
,	04/25/2000	US PATENT NO. 8,055,519 ENTITLED	FRAMEWORK FOR NEGOTIATION AND TRACKING O	F
	07/13/1999		EGOTIATED MATCHING SYSTEM BY D. SILVERMAN	ΕĪ
	02/16/1999	US PATENT NO. 5,879,071 ENTITLED C	AL.  MPUTER METHOD AND SYSTEM FOR INTERMEDIATE	E
	09/15/1998	US SATENT NO. 6-809,144 ENTITLED	ocities by R-Persteriberg et al. Wethod and apparatus for Furchasing and	, —
	08/11/1998	DELIVERING DIGITAL GOO	IS OVER A NETWORK BY M. SIRBU ET AL. THOD AND APPARAYUS FOR A CRYPTOGRAPHICA	
_		ASSISTED COMMERCIAL NETWORK	SYSTEM DESIGNED TO FASILITATE BUYER DRIVEN HASE OFFERS' BY J. WALKER ET AL.	
	11/25/1997	US PATENT NO. 3,692,200 ENTITLED	METHOD AND APPARATUS FOR AUTOMATING THE	
-	02/27/1998	US PATENT NO. 9,495,412 ENTITLED	COMPUTER BASED METHOD AND APPARATUS FOR	<u> </u>
`	02/10/1998		1,717,989 ENTITLED FULL SERVI	_
/			M" BY G. TOZZOLI AND C. LYNCH	
	01/17/1989		NTERACTIVE MARKET MANAGEMENT SYSTEM BY I	Ž.
: )	10/20/1998	CLIENT STATE IN A HYPERTEXT TRANS	THOU OF ON-LINE SHOPPING UTILIZING FERSISTE IFER PROTOCOL BASED CLIENT-SERVER SYSTEM L. MONTUILL	BY
•	05/22/2001	US PATENT NO. 6,236,984 B1 ENTITLE NEGOTIATION RECO	D'METHOD AND SYSTEM OF MANAGING CONTRAC RDS' BY G. CWENS AND T. BENNERS	Ť
	11/30/1999	US PATENT NO. 5.996:078 ENTITLED 'SY	STEM, METHOD AND ARTICLE OF MANUFACTURE F BOTROMIC COMMERCE' BY K. ROWNEY AND Y. CH	OF PN
	10/05/1989	US PATENT NO. 5,881,801 ENTITLED T CONVERSATION BETWEEN A GUE	RESERVING STATE INFORMATION IN A CONTINUIN NT AND SERVER METIVORKED VIA A STATELESS COL' BY A. IYENGER	
	08/31/1999	US PATENT NO. 5,945,989 ENTITLED MA	ETHOD AND APPARATUS FOR ADDING AND ALTERINGSTES BY G. FREISHTAY ET AL.	1G
	08/24/1999	US PATENT NO. 5.941.947 ENTITLED 'S	YSTEM AND METHOD FOR CONTROLLING ACCESS T NETWORK' BY R. PROWN AND R. GREENBERG	O
	11/21/2000	SE PATENT NO. 8, 191, 300 ENTITLED T	OLE SERVICE TRADE SYSTEM BY S. TORROLL-AND-	<u>.</u>
	10/00/1995	PAPER ENTITLED THE ELECTRONIC	RFP: CHANGING THE WAY THE GOVERNMENT DOES BE'BY G. THORNBURG	<del>,</del>
	00/00/0000	PARE ENTITE AMENIMEDIATED	TEGRATIVE REGULATION FOR RETAIL ELECTRON Y R. GUTTIMAN AND F. MALES	ie '
	00/00/0000	PAPER ENTITLED "KABBAH: AN AGENT	ARKETPLACE FOR BUYING AND BELLING GOODS - AVEZ AND P. MAES	BY
	00/00/0000	PAPER ENTITLED ISSUES IN AUTOM	ATED NEGOTIATION AND ELECTRONIC COMMERCE. FRAMEWORK BY T. SANDHOLM AND V. LESSER	

Page 5 8 13

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	DATE	DESCRIPTION PAPER ENTITLED 'ALGORITHMS FOR OPTIMIZING LEVELED COMMITMENT CONTRACTS' BY T.	1
りし	02/01/1999	SANDHOLM ET AL.	ŀ
	11/00/1996	PAPER ENTITLED "MARKET-BASED NEGOTIATION FOR DIGITAL LIBRARY SERVICES" BY T. MULLEN AND M. WELLMAN	
	12/21/1998	NEGOTIATION FACILITY FINAL SUBMISSION BY OSMANET	1
/	00/00/1998	PAPER ENTITLED "SERVICES OF A BROKER IN ÉLECTRONIC COMMERCE TRANSACTIONS" BY M. BICHLER ET AL.	
$\dot{N}$	05/00/1998	PAPER ENTITLES THE MICHIGAN INTERNET ABOTIONED TO A CONFIDURABLE AUCTION SERVER FOR HUMAN AND SOFTWARE AGENTS. BY P. WURMAN ET AL.	ł
ed	05/13/1997	Algight substituting of by wrother	'n
	09/09/1997	US PATENT NO. 5,586,420 ENTITLED 'SIMULTANEOUS ELECTRONIC TRANSACTIONS' BY S.	1
	09/23/1897	STRICK! DI V. ELONING	1
٠	10/14/1997	US PATENT NO. 5,677,965 ENTITLED 'ELECTRONIC FUNDS TRANSFER INSTRUMENTS' BY J. DOGGETT ET AL.	1
 	11/25/1997.	US RATENT NO. 5 892 205 ENTITLED METHOD AND APPARATUS FOR AUTOMATING THE GENERATION OF A LEGAL DOCUMENT BY H. SHIRLEY AND A. CASTRO	4
-	02/03/1898	US PATENT NO. 5,715,314 ENTITLED NETWORK BALES SYSTEM BY A PAYNEET AL	y
	03/24/1998	US PATENT NO. 5 739 400 ENTITLED SYSTEM AND METHOD FOR A RISK-BASED PURCHASE OF GOODS BY M. MANUER ET AL.	
	05/26/1998	UB PATENT NO. 4737.917 ENTITLED COMPUTERIZED PAYMENT SYSTEM FOR PURCHASING GOODS AND SERVICES ON THE INTERNET! BY M. ROSE ET AL.	7
4 2000 -	07/28/1998	US PATENT NO. 5,787,262 ENTITLED "SYSTEM AND METHOD FOR DISTRIBLITED CONFLICT RESOLUTION BETWEEN DATA OBJECTS REPLICATED ACROSS A COMPUTER NETWORK BY D. SHAKIB ET AL.	1
·	07/28/1998	US PATENT NO. 5,787,402 ENTITLED "METHOD AND SYSTEM FOR PERFORMING AUTOMATED	1
B-46-1	0/120/1000	FINANCIAL TRANSACTIONS INVOLVING FOREIGN CURRENCIES BY N. POTTER ST AL.	4
•	08/04/1995	US PATENT NO. 5,780,677 ENTITLED SYSTEM AND METHOD FOR SECURE ELECTRONIC	-
•	08/11/1998	CONDITIONAL PURCHASE OFFERS' BY J. WALKER 5T AL.	
	09/01/1998	US PATENT NO. 5.802.487 ENCOTED METHOD AND ARRANTUS FOR SONDUCTING COMPUTERIZED COMMERCE BY M. MANASSE	1
	08/15/1998	US PATENT NO. 5,808,144 ENTITIED "METHOD AND APPARATUS FOR PURCHASING AND DELIVERING DIGITAL GOODS OVER A NETWORK" BY M, SIRBU ET AL.	1
_	10/20/1998	US PATENT NO. 5,828,244 ENTITLED 'METHOD AND SYSTEM FOR PROVIDING A DOCUMENT SERVICE OVER A COMPUTER NETWORK LIBING AUTOMATED SROKERED AUCTION' BY S. HUBERMAN	1
	02/16/1999	US PATENT NO 5.873.071 COMPLTED METHOD AND SYSTEM FOR INTERMEDIATED	4
-	OEI 101 1959	EXCHANGE OF COMMODITIES' INVENTOR: FERSTENBERG ET AL.	1
<b>-</b> -	04/27/1999	US PATENT NO. 5:897,521 "SYSTEM AND METHOD FOR MULTI-CURRENCY TRANSACTIONS"	1
~	05/18/1999	US BATENT NO. 5,805,975 "COMPUTER IND! EMENTED METHODS AND APPARATUS FOR AUCTIONS" INVENTOR; AUSUBEL	-
_	06/29/1999	US PATENT NO. 5,018,218 METHOD AND APPARATUS FOR AUTOMATED TRADE TRANSACTIONS PROCESSING INVENTOR: HARRIS ET AL.	
-	07/13/1989	US PATENT NO 5,833 873 1875 FM AND METHOD FOR TRABING HAVING A PRINCIPAL	7
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Page 6 78 13

om 1448 FTOU-COSC 15 DESCRIPTION LIOMATED CONTRACT NEGOTIATORY GENERATION SYSTEM AND METHOD" INVENTOR: HOYT ET AL US PATENT NO. 4,799,158 01/17/1989 witeractive worket wavagement system INVENTOR: SHAVIT ET. AL US PATENT NO. 5.783, 185 COMPUTERIZED RESERVATIONS AND SCHEDULING SYSTEM" (NVENTOR: LEISECA ET AL 10/12/1993 US PATENT NO. 5 305 305 SINANCIAL EVENANCIE SYSTEM HAVING AUTOMATED
RECOVERY/ROLLBACK OF UNACKNOWLEDGED GROERS' INVENTOR: HARTHEIMER ET AL.
US PATENT NO. 6 485 412 COMPUTER BASES WIFTED AND ARRARATUS FOR INTERACTIVE 04/19/1994 AC 02/27/1998 АE COMPUTER ASSISTED NEGOTIATIONS' INVENTOR: THIESSEN
US PATENT NO. 5.657.518 TRUBTED ABONTS FOR OPEN SUCCEPTIONIC COM 09/17/1998 AC INVENTOR: ROSEN
US. SATENT NO. 5,825,987 SINUII TANFODE ÉLECTRONIC TRANSACTIONS WITH VISIE LE 05/13/1997 AC TRUSTED PARTIES" INVENTOR; MICALI 09/09/1997 Æ. US PATENT NO. 9,688,420 SIMULTANEOUS ELECTRORIC TRANSACTIONS INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT.), INTERNATIONAL PUBLICATION IND. WO STIGATO ONLINE CONTRACT NEGOTIATION 02/06/1997 AG APFARATUS AND METHOD INVENTOR: SLOD

US PATENT NO. 0,195,091 TRADING SYSTEM FOR PROVIDING ROAL TIME CONTENT
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IS PATENT NO. 1799,158 "INTERACTIVE MARKET MANAGEMENT SYSTEM" INVENTOR: SHAVI 03/05/1923 01/17/1989 US PATENT NO. 5.753,165 "COMPUTERIZED RESERVATIONS AND SCHEDULING SYSTEM 10/12/1993 US PATENT NO. 5,505,200 "FINANCIAL EXCHANGE SYSTEM HAVING AUTOMATED 04/19/1994 US PATENT NO. 5,505,200 PINANCIAL BALHANGE STOLEN TRYING AN IOMALENER ET AL.

RECOVERY/ROLLBACK OF UNACKNOW EDGED ORDERS INVENTOR; HARTHEIMER ET AL.

US PATENT NO. 5,495,412 COMPUTER BASED METHOD AND APPARATUS EDB. INTERACTIVE

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INVENTOR: ROSEN AC 02/27/1996 AG 09/17/1996 08/11/1998 US PATENT NO. 5,794,207 "METHOD AND APPARATUS FOR A CRYPTOGRAPHICALLY ASSISTED COMMERCIAL NETWORK SYSTEM DESIGNED TO PACILITATE SLYER-DRIVEN CONDITIONAL PURCHASE OFFERS" INVENTOR: WALKER ET AL. US-PATENT NO. 5,802,497 " METHOD AND ABBABATUS FOR CONDUCTING COMPUTERIZED **4**6. 09/01/4098 COMMERCE US PATENT NO. 5.AM. 144 METHOD AND APPARATUS FOR PURCHASING AND DELIVERING
DIGITAL GOODS OVER A NETWORK INVENTOR; SHRUTET AL.

US PATENT NO. 5.828.244-14ETHOD AND SYSTEM FOR PROVIDING A DOCUMENT SERVICE
OVER A COMPUTER NETWORK USING AN AUTOMATED BROKERED AUCTION

LIS PATENT NO. 5.828.071. COMPUTER NETHOD AND EXSTEM FOR INTERMEDIATED
EXCHANGE OF COMMODITIES INVENTOR; FERSTENBERG ET AL.

US PATENT NO. 5.767.862 'SYSTEM AND METHOD FOR DISTRIBUTED CONFLICT RESOLUTION

US PATENT NO. 5.767.262 'SYSTEM AND METHOD FOR DISTRIBUTED CONFLICT RESOLUTION

RETWEEN CATA-OBJECTS REPLICATED ASROSS A COMPUTER NETWORK' INVENTOR; SHAKE US PATENT NO. 5 AUB. 144. METHOD AND APPARATUS FOR PURCHASING AND DELIVERING 09/16/1998 AC ДC 10/20/1998 2/16/1999 AC РC Q5/28/1988 BETWEEN DATA OBJECTS REPLICATED ACROSS A COMPUTER NETWORK' INVENTOR: SHAKIB ET AL.

US.RATENT NO. 5,787,492 \* METHOD AND SYSTEM FOR REBECRANAGE AUTOMATED FINANCIAL

TRANSACTIONS INVOLVING FOREIGN CURRENDIES INVENTOR: POTTER ET AL.

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TRANSACTIONS INVENTOR: FOX ET AL.

US.PATENT NO. 5,871,278 ELECTRONIC COMMERCE US PATENT NO. 5,871,278 ELECTRONIC PATENT N 7/28/1998 8/4/1998 AC 9/23/1997 INVENTOR; ELGAMAL US PATENT NO. 5:877:868 "ELECTRONIC FUNDS TRANSPER INSTRUMENTS" INVENTOR 10/14/1997 DOGGETT ST AL. 3.692.205 METHOD AND APPARATUS FOR AUTOMATING THE GENERATION OF A LEGAL DOCUMENT INVENTOR: SHIRLEY ET AL. 11/25/1997 AC

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Office garage		INVENTOR: MANDLER ET AL.	7
	04/27/1999	US PATENT NO. 5.857.931 SYSTEM AND METHOD FOR MULTI-CURRENCY TRANSACTIONS INVENTOR; BOESCH ET AL.	ne
	05/18/1989	US PATENT NO. 5.905.976 COMPUTER IMPLEMENTED METHODS AND APPARATUS FOR	Ľ
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//	06/29/1999	TRANSACTIONS PROCESSING INVENTOR: HARRIS ET AL.	
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4	03/16/1993	US PATENT NO. 5,185,031 TRADING SYSTEM FOR PROSIDING REAL TIME CONTEXT	-
	3/18/2004	SENSITIVE TRADING MESSAGES BASED OF CONVENE ATION ANALYSIS TRYENTOR: ORDISH FETCHBOOK, CO.UK WEBPAGE DISPLAYING CONTRACT NEGOTIATION HANDSOOK BY P.D.V.	ł
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į	1	INVENTIONS PATENT AND TRADEMARK OFFICE" PUBLICATION RESULT: INTELLIGUAND CORP.	1
,		"FORMULATING AND COMMUNICATING REJECTIONS UNDER 35 U.S.C. 103 FOR APPLICATIONS DIRECTED TO COMPUTER-IMPLEMENTED BUSINESS METHOD INVENTIONS" PUBLISHED BY	ł
		THE UNITED STATES PATENT & TRADEMARK OFFICE	1
1	3/17/2004	AMAZON.COM WEBPAGE LISTING "A WRITER'S QUIDE TO CONTRACT NEGOTIATIONS/AN EASY-TO-USE GUIDE TO NEGOTIATING PROFITABLE BOOK CONTRACTS AND MAGAZINE	
	Ì	AGREEMENTS BY YOURSELF OR WITH AN BY RICHARD BALKIN	
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		NEGOTIATING APPARATUS AND METHOD' BY M. SLOO	
SMO	04/10/1997	INTERNATIONAL PUBLICATION NUMBER WO 97/13329 "METRODS AND APPARATUS FOR AUTOMATING CONTACT CLOSURE" INVENTOR: BANNISTER ET AL.	
401	02/03/1998	US PATENT NO. 5,715,402 METHOD AND SYSTEM FOR MATCHING SELLERS AND BUYERS OF	1
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SVVI		103 FOR APPLICATIONS DIRECTED TO COMPUTER IMPLEMENTED BUSINESS METHOD INVENTIONS" PUBLISHED BY THE UNITED STATES PATENT & TRADEMARK OFFICE	
	04/16/1991	US PATENT NO. 5,008,653 "REPRESENTATION OF COLCABORATIVE MULTI-USER ACTIVITIES	İ
$\leq$ M $\cap$		RELATIVE TO SHARED STRUCTURED DATA OBJECTS IN A NETWORKED WORKSTATION	
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09/16/1997	LIB PATENT NO. 5,866,988" METHOD AND APPARATUS FOR FANDLING A SOMELAND
02/03/1998	UB PATENT NO. 5, 715, 402 METHOD AND SYSTEM FOR MATCHING SELLERS AND PLIVERS OF SPOT METALS INVENTOR: POPOLO
12/02/1999	ARTICLE ENTITLED NEGOTIATION VIA THE WORLD WIDE WES: A CROSS-CULTURAL STUDY OF DECISION MAKING! BY G. KERSTEN AND S. NORONHA
05/00/1997	INTERNEO REBEARCH PAPER ENTITLEO "SUPPORTING INTERNATIONAL NEGOTIATION WITH A WWW-BASED STSTEM" BY G. KERSTEN AND S. NORONHA
04/25/2000	US PATENT NO. 6,055,519 "FRAMEWORK FOR NEGOTIATION AND TRACKING OF SALE OF GOODS" INVENTOR: KENNEDY ET AL.
01/16/1997	PURCHASING: THE MAGAZINE OF TOTAL SUPPLY MANAGEMENT "INTERNET BUYING IS A TOUGH BELL" BY TIM MINAHAN
09/00/1995	NETWORK WORLD COLLABORATION ARTICLE ENTITLED "COMMERCENET: OPEN FOR BUSINESS" BY JOHN VACCA
03/03/1997	TPN RECENT ARTICLES "UP & RUNNING"
10/10/1994	FORBES ASAP ARTICLE "THE DOWNSIZER: FIRE YOUR PURCHASING MANAGERS"
01/28/2003	INDUSTRY UPDATE: VENDOR STRATEGIES, MARKET TRENDS, ALLIANCES AND FINANCIALS
06/25/1998	COMMERCE ONE: NEWS RELEASE NEW CATALOG DELIVERS FULL INTEGRATION WITH SAP RZ AND ACCESS TO SAP BUSINESS TO BUSINESS PROCUREMENT SOLUTION"
05/04/1998	DATAQUEST REPORT: PERSPECTIVE PRODUCT ANALYSIS "SUSINESS TO BUSINESS ELBOTRONIC COMMERCE REACHES INTO THE SUPPLY CHAIM"
06/01/199B	GARTNER GROUP MARKET ANALYSIS "INTERACTIVE SHOPPING: DIFFERENTIATION BY PORTAL AND WEB SITE DESIGN"
09/04/1998	GARTNER GROUP STRATEGIC ANALYSIS REPORT "DEVELOPING INTERNET-ENABLED SUPPLY CHAIN STRATEGIES" BY B. ENSLOW, C. SMITH
08/31/1998	GARTNER GROUP RESEARCH NOTE CHALLENGES OF DEVELOPING AN INTERNET BROKERING SYSTEM" BY 8. BURTON
11/10/1997	INFORMATIONWEEK ARTICLE "WHAT'S YOUR BID? FREE MARKETS" REAL-TIME ONLINE BIDDING TECHNOLOGY LETS CLIENTS DRIVE DOWN COSTS AND IMPROVE PRODUCT VALUE"
08/00/1998	SALES AND MARKETING MANAGEMENT ARTICLE "ONLINE BIODING KEEPS SUPPLIERS IN LINE" BY BARAH LORGE
11/17/1997	INFORMATION WEEK ARTICLS "INSURERS LAUNCH WEB SITE TO TRADE STORM OPTIONS" BY GREGORY DALTON AND "DRUG FIRM SHIFTS PROCUREMENT TO THE NET" BY CLINTON WILDER
09/07/1999	US PATENT NO. 5,950,177 "CROSSING NETWORK UTILIZING OPTIMAL MUTUAL SATISFACTION" DENSITY PROPILE" INVENTOR; LUPIEN ET AL.
06/29/2000	US PATENT NO. 6,112,189 "MÉTHOD AND APPARATUS FOR AUTOMATING NEGOTIATIONS BETWEEN PARTIES" INVENTOR; RICKARD ET AL
10/10/2000	US PATENT NO. 6, 131,087 "METHOD FOR AUTOMATICALLY IDENTIFYING, MATCHING, AND NEAR-MATCHING BUYERS AND SELLERS IN ELECTRONIC MARKET TRANSACTIONS" INVENTOR: LUKE ET AL.
02/27/2001	US PATENT NO. 6,185,646 B1 "SYSTEM AND METROD FOR FACILITATING THE VALUATION AND PURCHASE OF INFORMATION"
05/23/2000	US PATENT NO. 6,067,828 CONFIDENTIAL MARKET MAKING SYSTEM INVENTOR: BREED ET
04/22/2003	US PATENT NO. 669,346 "CONDITIONAL PURCHASE OFFER (CPO) MANAGEMENT SYSTEM FOR . PACKAGES" INVENTOR: WALKER ET AL.
12/18/2001	US PATENT NO. 6,332, 128 B1 "METHOD AND SYSTEM FOR UTILIZING A PSYCHOGRAPHIC QUESTIONNAIRE IN A BUYER-DRIVEN COMMERCE CENTER" INVENTOR: WALKER ET AL.
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Page 11 0 13

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Page 12 07 13

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